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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket	02581- P0149A
First Inventor or Application Identifier	Simon SOLINGEN
Title	Medical Instrument, Particularly A Surgical
Express Mail Label No.	EM387358596US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

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2. ☒ Specification [Total Pages **14 13**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
3. ☒ Drawings(s) (35 USC 113) [Total Sheets **2**]
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  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
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Signed statement attached deleting  
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see 37 CFR a.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
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  - b. ☐ Paper Copy (identical to computer copy)
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## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(where there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
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NAME	Wesley W. Whitmyer, Jr.				
ADDRESS	St. Onge Steward Johnston & Reens LLC				
	986 Bedford Street				
CITY	Stamford	STATE	CT	ZIP CODE	06905-5619
COUNTRY	United States	TELEPHONE	203 324-6155	FAX	203 327-1096

Name (Print/Type)	Wesley W. Whitmyer, Jr.	Registration No. (Attorney/Agent)	33,558
Signature		Date	12/28/99

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PATENT  
02581-P0149A WWW

UNITED STATES PATENT APPLICATION

of

**Simon SOLINGEN**  
2927 Woodwardia Drive  
Los Angeles, CA 90077

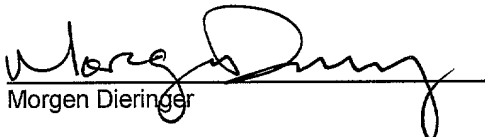
for

**MEDICAL INSTRUMENT, PARTICULARLY A SURGICAL INSTRUMENT**

Attorney for Applicant  
Wesley W. Whitmyer, Jr., Registration No. 33,558  
**ST.ONGE STEWARD JOHNSTON & REENS LLC**  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

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December 28, 1999

  
Morgen Dieringer

Our Ref 99 015 ST  
Karl Storz GmbH & Co KG  
Mittelstrasse 8  
78532 Tuttlingen

**A medical instrument, particularly a surgical instrument**

The invention relates to a medical instrument, particularly a surgical instrument with a displaceable push/pull rod arranged on the proximal end of a hand manipulator for activating remote tool parts on the distal end, wherein a force-limiting device is envisaged for limiting the transmission of force from the hand manipulator onto the remote tool parts via the push/pull rod.

This kind of medical instrument can for example be a needle holder, a gripping-, holding- or preparation tool, scissors or other instrument, in which the push/pull rod can be moved back and forth using manual force via the hand manipulator, in order to move, ie to open and close, the remote tool parts which are predominantly open-ended tool parts.

These known medical instruments available in various embodiment configurations have a long hollow cylindrical shaft, onto the distal end of which the remote tool parts are arranged. The hand manipulator with a rigid handle element and a swivelling handle element is arranged on the proximal end of the shaft. To activate the remote tool parts via the hand manipulator, the remote tool parts and the swivelling handle element of the hand manipulator are coupled via the push/pull rod which is located in the hollow cylindrical shaft. In this way it is possible to open and close the remote tool parts by counter-adjusting

the handle elements of the hand manipulator with one another.

These types of medical instruments are often used during minimally invasive surgery where they are introduced into the patient's body using trocars. Due to the miniaturisation of the instruments required for minimally invasive surgery, the instruments are more sensitive to pressure since, due to miniaturisation, the individual components can only absorb marginal forces, which for example are brought about by hand pressure upon activating the hand manipulator. In the case of the type of medical instruments mentioned earlier, the swivel handle element of the hand manipulator is designed as a lever, wherein the hinge axis of the two handle elements form the lever axis. The distance from the hinge axis to the point at which the push/pull rod is located on the handle element is considerably shorter than the distance from the hinge axis to the finger hole on the end of this handle element. The transmission ratio is generally around 10:1, that is, the standard closing force of the hand of about 100 N is amplified tenfold due to mechanical leverage, i.e. to around 1,000 N.

When using these medical instruments in practice, in particular the gripping and holding tools, the aim is to generally hold an object, for example a swab or a needle and to place it securely and firmly between the remote tool parts. Strong people can exert a closing force onto the hand manipulator of about 150 N or more, which is then amplified to 1,500 N or more due to mechanical leverage. Frequent use of excess pressure on the remote tool parts can lead to material fatigue or even to the remote tool parts fracturing, whereupon loss of small parts in the operating arena particularly during an operation can lead to the patient getting injured.

In order to avoid undue excess forces being exerted onto the push/pull rod via the hand manipulator and therefore onto the remote tool parts, a force-limiting device is known in the practical field in which the transmission of force between the hand manipulator and the push/pull forces and/or the remote tool parts is limited by a force-limiting device. This type of force-limiting device is known for example from DE 197 31 453-C2. With this known device the push/pull rod is designed as a two-piece component, in which both the push/pull rod sections are connected to one another by way of a force-limiting device. One section of the rod is designed with a casing comprising an internal steepening flat body wedge across the direction of movement of the push/pull rod. The other rod section has a tapered cone with a corresponding flat body wedge, which is located inside the casing of the first rod section. The casing is designed with slots which are expanded by the displaceable tapered cone along the flat body wedge of the casing upon being subjected to tensile pressure of the push/pull rod, through which a portion of the closing force generated is absorbed, so that no further undue excess pressure can be exerted onto the remote tool parts.

In accordance with another known embodiment configuration the force-limiting device is designed as a spring assembly on the proximal end of the push/pull rod and which absorbs a portion of the force transmitted onto the push/pull rod via the hand manipulator.

All these state of the art known force-limiting devices have indeed proven themselves in practice, however their construction is very complicated and time consuming and therefore expensive.

Moving on from this the invention is based on the exercise of improving a medical instrument of the above mentioned

type so that the force-limiting device is simple and cost effective to construct.

The solution to this exercise according to the invention is characterised by the push/pull rod being designed with spring-like elasticity along the line of displacement and thus creating the force-limiting device.

By configuring the push/pull rod as a spring-like elastic component it is possible to produce a medical instrument with a force-limiting device without additional components for the first time.

In accordance with the first embodiment configuration the push/pull rod is designed with at least sectional undulatory curves to provide the spring-like elasticity. This configuration provides the opportunity for the push/pull rod to elongate itself, preferably reversibly, in the area of the undulatory curve in the event of being subjected to excess tensile pressure.

In order to avoid the push/pull rod from jumping from one side of the inner wall of the hollow cylindrical shaft to the other under tensile pressure, the invention furthermore suggests that the undulatory curves of the individual sections be designed offset on planes from one another, so that the push/pull rod can be guided through the inside of the hollow shaft tube in all directions. It suggests that the each of the sections with individual undulatory curves preferably be designed on planes, offset at 90° from one another. This embodiment configuration is simple to manufacture by placing the push/pull rod repeatedly into a press.

The invention further suggests an embodiment configuration in which the semi-curves of the undulatory curves creating

the undulating force-limiting device are offset at 90° or 135° from one another.

The spring-like elasticity of the push/pull rod can in one configuration of the invention be adjusted through its shape and the number of undulatory curves so that it is possible for the force-limiting device to be adapted to the respective necessary and appropriate closing pressure.

A second embodiment configuration of the invention suggests that the push/pull rod be designed with at least sectional turned spiral coils to provide the spring-like elasticity. Along with the creation of the undulatory curves, the spiral coil configuration of the push/pull rod offers the opportunity for the push/pull rod to flexibly elongate itself in the event of excess tensile pressure.

With this embodiment configuration the spring-like elasticity of the push/pull rod is preferably adjusted through the gradient of the turned spiral coil sections, in which the turned spiral coil sections preferably have a large gradient.

Finally the invention suggests that the spring-like elasticity of the push/pull rod can be adjusted by way of the material used for the push/pull rod.

Further, for technical and production reasons as well as for increasing operational safety, it is suggested that the push/pull rod be made of one uniform piece of material and/or with a virtually ~~[consistent]~~  
constant cross section.

Further characteristics and advantages of the invention can be extracted from the following description of the associated diagram, in which the one embodiment configuration for creating a force-limiting device for a

medical instrument according to the invention is depicted.  
The diagrams show:

Fig 1 a side view of a surgical instrument according to the invention in the form of a gripping tool

Fig 2a a side view of a force-limiting device of a medical instrument per Fig 1 with undulatory curved sections, and

Fig 2b a side view of the force-limiting device per Fig 2a, however rotated 90°.

Fig 1 depicts a surgical instrument in the form of a gripping tool 1. The gripping tool 1 has a hollow cylindrical shaft 2 along its lateral length, on the proximal end of which a hand manipulator 3 is located, and on the distal end of which remote tool parts 4 are arranged in the form of two open-ended sections and which can be activated via the hand manipulator 3 of the gripping tool 1.

The remote tool parts 4 are designed so that one remote tool part 4a is rigidly connected to the shaft 2, whilst the other remote tool part 4b is located and can swivel on an axis 5 across from the rigid remote tool part 4a. Understandably it is also possible for both remote tool parts 4 to be designed to swivel.

The hand manipulator 3 for activating the remote tool parts 4 has two handle elements 3a and 3b which swivel on hinge axes 6 across from the shaft 2.

The connection between the hand manipulator 3 - more precisely the swivelling handle elements 3a and 3b of the hand manipulator 3 - and the swivelling remote tool parts



4b of the remote tool part 4 is provided via a push/pull rod 7 located and guided inside the hollow cylindrical shaft 2.

If the gripping tool 1 is grabbed in the open position depicted in Fig 1, whereupon the handle elements 3a and 3b of the hand manipulator 3 are encompassed by the operator's hand, it is necessary, in order to guide the remote tool part 4 into grabbing position, to manipulate the swivelling handle elements 3a and 3b towards one another in the direction of arrow 8, through which action the push/pull rod 7 is proximally displaced in a line with the arrow 9, and which furthermore leads to the swivelling remote tool part 4b being displaced in the direction of the closed position.

Activation of the push/pull rod 7 via the handle elements 3a, 3b of the hand manipulator 3 is carried out via control levers 10 which, forming a parallelogram on the one hand, are located on the hand grips 3a, 3b and which swivel at the knuckle joints 11 and on the other hand are located at a pivot point 12 on the push/pull rod 7.

In order to determine on the one hand the position of the two handle elements 3a and 3b to one another and therefore the respective remote tool parts 4a and 4b, and on the other hand to independently guide the handle elements 3a and 3b into their open base position again according to Fig 1, the gripping tool 1 depicted has on the one hand a locking device 13, via which the handle elements 3a and 3b can be interlocked with one another, and which on the other hand has a spiral coiled spring 14 arranged on the proximal end of the push/pull rod 7. When the handle elements 3a, 3b are pressed together, the spiral coil spring 14 is elongated. The locking device 13 depicted for fixing the handle elements 3a, 3b comprises a draw rod 13a and a lock

clip 13b which are each connected to one handle element 3a, 3b facing one another.

As soon as the lock clip of the locking device 13 is lifted via a releasing mechanism 15, the spiral coil spring 14 recoils and pushes the handle elements 3a and 3b apart again into the base position by way of the two control levers 10, as depicted in Fig 1.

As can be seen in Fig 1 the distance from the outer end of the swivelling handle elements 3a, 3b to the knuckle joint 11 of the control levers 10 connected to the push/pull rod 7 is very much greater than the distance between the knuckle joints 11 and the pivot point 12 for the control levers 10 on the push/pull rod 7. In this way the force which is exerted by the hand onto the swivelling handle elements 3a, 3b is amplified due to mechanical leverage, wherein the normal transmission ratio is about 10:1. With a normal closing force of about 100 N the exerted force on the push/pull rod 7 is about 1,000 N after tenfold amplification.

However a hand can also exert considerably greater force than 150 N or more, therefore the gripping tool 1 depicted has a force-limiting device 16, which is depicted in more detail in Fig 2a and 2b. The force-limiting device 16 is there to prevent undue excess force being exerted onto the remote tool parts 4 via the push/pull rod 7 which can lead to damage or even fracturing of the remote tool parts 4.

In the diagrams in Fig 2a and Fig 2b a configuration of the force-limiting device 16 is depicted which totally eliminates additional components since, according to this embodiment configuration, the push/pull rod 7 simultaneously assumes the same function as the force-limiting device 16. To this end the push/pull rod 7 is designed to have spring-like elasticity along its line of

displacement within the hollow cylindrical shaft 2, so that the push/pull rod 7 can be retracted and/or elongated with increasing tension in order to absorb excess forces and to block them from the reaching the remote tool parts.

In the embodiment configuration depicted with a spring-like elastic push/pull rod 7, the spring-like elasticity is achieved by designing the push/pull rod 7 with sectional undulatory curves along its total length. The opportunity exists for the push/pull rod 7 to flexibly distort in the area of the individual undulatory curves 17 and thereby partially transfer the force exerted onto the push/pull rod 7 into this distortion task.

In order to prevent the push/pull rod 7 from jumping from one side wall to the other side wall within the hollow cylindrical shaft 2 under tensile pressure when the undulatory curve 17 is designed on just one plane, the individual sections of the undulatory curves 17 are designed offset at 90° from one another respectively as depicted in Figs 2a and 2b.

With this embodiment configuration the spring-like elasticity of the push/pull rod 7 can be adapted and determined by way of the type and number of undulatory curves 17 as well as the choice of material for the push/pull rod 7.

Along with the embodiment configuration depicted, in which the undulatory curves 17 can be simply and advantageously manufactured, singly or multiply, by laying the straight push/pull rod 7 in a press, the spring-like elasticity of the push / pull rod 7 can also be achieved by the push/pull rod 7 at least being designed with sectional turned spiral coils. With this embodiment configuration (not illustrated) the spring-like elasticity of the push/pull rod 7 can be adapted through the choice of material for the push / pull

rod 7 as well as through the gradient of the turned spiral coils, wherein a greater gradient is seen as preferential.

The force-limiting device 16 depicted in Fig 2a and 2b is distinguished by being simple and cost effective to manufacture without additional components.

27-JAN-00 18:45  
PA FRANK HOFMEISTER RATINGEN  
+49 2102 81359  
SEITE: 13

## PATENT CLAIMS

1. A medical instrument, particularly a surgical instrument with a displaceable push/pull rod arranged on the proximal end of a hand manipulator for activating remote tool parts at the distal end, wherein a force-limiting device is envisaged for limiting the transmission of force onto the remote tool parts from the hand manipulator via the push/pull rod characterized in that for creating the force-limiting device the push/pull rod is designed to have spring-like elasticity along its lateral length.
2. A medical instrument according to Claim 1, characterized in that the push/pull rod has at least sectional undulatory curves to provide the spring-like elasticity.
3. A medical instrument according to Claim 2, characterized in that the individual sections with undulatory curves are designed on planes offset from one another.
4. A medical instrument according to Claim 3, characterized in that the individual sections with undulatory curves are each designed on planes offset at 90° from one another.
5. A medical instrument according to Claim 2, characterized in that the individual semi-curves of the sections with undulatory curves are designed to be offset at 90° or 135° from one another.
6. A medical instrument according to Claim 2, characterized in that the spring-like elasticity of the push/pull rod can be adjusted through its shape and/or the number of undulatory curves.

7. A medical instrument according to Claim 1, characterized in that the push/pull rod is designed with at least turned spring coil sections to provide the spring-like elasticity.
8. A medical instrument according to Claim 7, characterized in that the push/pull rod can be adjusted through the gradient of the turned spring coil sections.
9. A medical instrument according to Claim 7, characterized in that the turned spring coil sections have a large gradient.
10. A medical instrument according to Claim 1, characterized in that the spring-like elasticity of the push/pull rod can be adjusted via the material of the push/pull rod.
11. A medical instrument according to Claim 1, characterized in that the push/pull rod is made from one uniform piece of material.
12. A medical instrument according to Claim 1, characterized in that the push/pull rod has a virtually constant cross section.

# ABSTRACT

The invention relates to a medical instrument, particularly a surgical instrument with a displaceable push/pull rod arranged on the proximal end of a hand manipulator for activating remote tool parts on the distal end, in which a force-limiting device is envisaged for limiting the transmission of force onto the remote tool parts from the hand manipulator via the push/pull rod. In order to design a simple and cost effective force-limiting device the push/pull rod is designed to be spring elastic in its lateral length.

1. A medical instrument, particularly a surgical instrument, comprising a hand manipulator and a push/pull rod arranged on the proximal end of the hand manipulator for activating remote tool parts on the distal end, in which a force-limiting device is envisaged for limiting the transmission of force onto the remote tool parts from the hand manipulator via the push/pull rod, wherein the push/pull rod is designed to be spring elastic in its lateral length.

Fig. 1

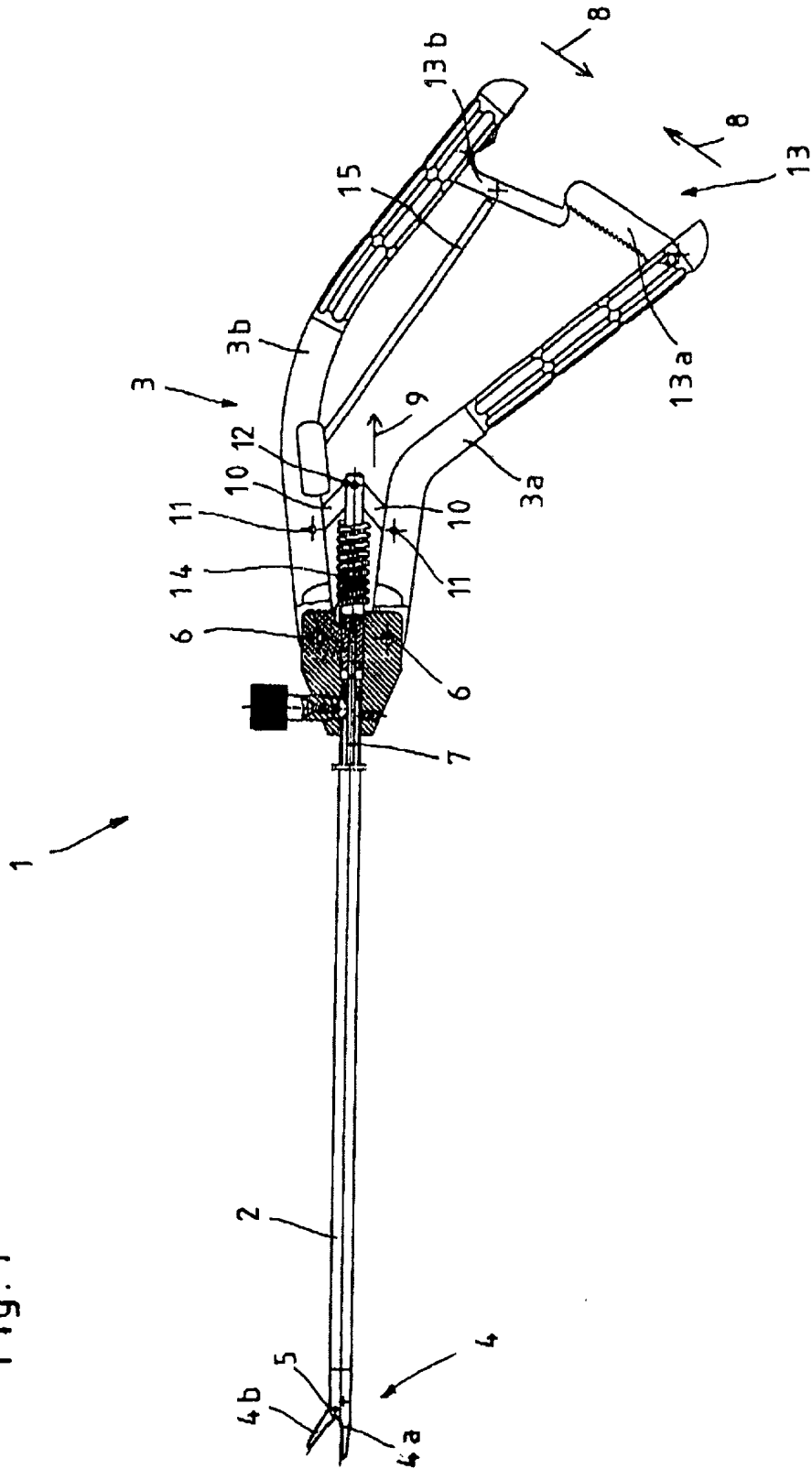




Fig. 2a

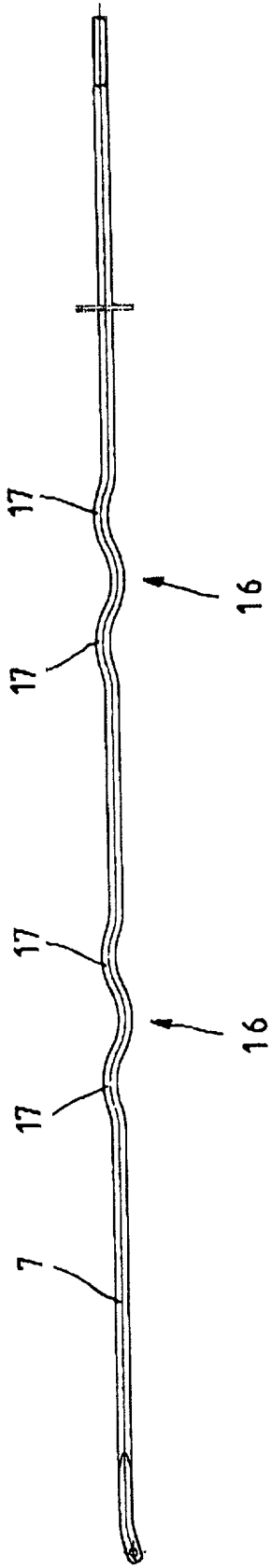


Fig. 2b

